

12/03/97

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Jc408 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

0057-2209-0

First Named Inventor or Application Identifier

Kouji HAMANO, et al.

Title

LITHIUM ION SECONDARY BATTERY AND  
METHOD OF FABRICATING THEREOF**APPLICATION ELEMENTS**See MPEP chapter 600 concerning utility application  
contents

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 35]
3. ☒ Drawing(s) [Total Sheets 7]
4. Oath or Declaration [Total Pages 5]
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is  
checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity ☐ Statement filed in prior app,  
Statement(s) Status still proper and desired
13. ☐ Certified Copy of Priority Document(s)
14. ☒ Other: Notice of Priority

15. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

16. Amend the specification by inserting before the first line the sentence:

This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. ?, filed on ?.**17. CORRESPONDENCE ADDRESS**OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VIRGINIA 22202  
(703) 413-3000  
FAX NO. (703) 413-2220

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Kouji HAMANO, et al.

Serial No: NEW APPLICATION

Filing Date: HEREWITH

Title: LITHIUM ION SECONDARY BATTERY AND METHOD OF FABRICATING THEREOF

**FEE TRANSMITTAL**Assistant Commissioner For Patents  
Washington, D.C. 20231

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	13-20=	0	X \$22=	\$0.00
	INDEPENDENT	2-3=	0	X \$82=	\$0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$270=	\$0.00
	LATE FILING OF DECLARATION			+ \$130=	\$0.00
	BASIC FEE				\$790.00
	TOTAL OF ABOVE CALCULATIONS =				\$790.00
	Reduction by 50% for filing by small entity				\$0.00
	<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130=	\$0.00
	<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40=	\$0.00
	TOTAL				\$790.00

☐ Please Charge My Deposit Account No. 15-0030 in the Amount of ?. A Duplicate copy of this sheet is enclosed.☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Account No. 15-0030. A duplicate copy of this sheet is enclosed.☒ A check in the amount of \$790.00 to cover the filing fee is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Norman F. Oblon  
Attorney of Record  
Registration No. 24,618**MARVIN J. SPIVAK**  
**REGISTRATION NUMBER 24,913**Date 12/3/97  
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08984185-120397

Docket No. 0057-2209-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kouji HAMANO, et al.

GROUP ART UNIT:

SERIAL NUMBER: NEW APPLICATION

EXAMINER:

FILED: HERewith

FOR: LITHIUM ION SECONDARY BATTERY AND METHOD OF FABRICATING THEREOF

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119  
AND THE INTERNATIONAL CONVENTION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

COUNTRY

APPLICATION NO:

MONTH/DAY/YEAR

Japan

8-324032

December 4, 1996

A Certified copy of the corresponding Convention Application will be submitted prior to payment of the Final Fee.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

**MARVIN J. SPIVAK**  
**REGISTRATION NUMBER 24,913**

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